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# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

(COMPLETE IF KNOWN)

Application Number	09/972,736
Filing Date	October 5, 2001
Group Art Unit	2164
Examiner	To be Assigned

Attorney Docket Number	3271/50
First Named Inventor	Baughman

This declaration is (check one):

- ☐ submitted with initial filing;  
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☐ a supplemental declaration.

This application is of the following type:

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☐ design;  
☐ national stage of PCT;  
☐ divisional, continuation or continuation-in-part.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## INTEGRATED INVESTMENT PORTFOLIO MANAGEMENT SYSTEM AND METHOD

the specification of which: (check one)

- ☐ is attached hereto; or  
☒ was filed on October 5, 2001 as U.S. Application Serial No. 09/972,736 and is/was amended on \_\_\_\_\_ (*if applicable*);  
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I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below (if any):

Provisional Application No.	Filing Date
60/238,484	October 6, 2000

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James W. Woods, Reg. No. 47,184

Direct all correspondence to:

Brown Raysman Millstein Felder & Steiner LLP  
900 Third Avenue  
New York, New York 10022

Direct all telephone calls to:

(212) 895-2000

Full Name of Sole or First Inventor	William BAUGHMAN		
Inventor's Signature	<i>William Baughman</i>	Date	2/13/02
Residence	Newton, Massachusetts	Citizenship	US
Post Office Address	69 Hillside Avenue, Newton, Massachusetts 02465		

Full Name of Second Joint Inventor	Rafael HERNANDEZ		
Inventor's Signature		Date	
Residence	New York, New York	Citizenship	US
Post Office Address	10 River Road, Apt. 10-J, New York, New York 10044		

Full Name of Third Joint Inventor	Harsh SHETHIA		
Inventor's Signature		Date	
Residence	London, United Kingdom	Citizenship	India
Post Office Address	50 Lexham Gardens, Flat 9, London W85JA, United Kingdom		

Full Name of Fourth Joint Inventor	James A. MACPHERSON		
Inventor's Signature		Date	
Residence	Cos Cob, Connecticut	Citizenship	US
Post Office Address	5 School Street, Cos Cob, Connecticut 06807		

Full Name of Fifth Joint Inventor	Joseph FERGUSON III		
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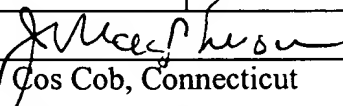
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(check one) Sheets containing additional joint inventors ☐ are, ☒ are not attached hereto.